

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new non-provisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable [ ] Duplicate

Attorney Docket No.

**000593B**

Total Pages

First Named Inventor or Application Identifier

**NISHIUCHI, et al.**

Express Mail Label No.

APPLICATION ELEMENTS FOR: **SURFACE TREATING  
PROCESS, SURFACE TREATING APPARATUS,  
VAPOR-DEPOSITING MATERIAL, AND RARE EARTH  
METAL-BASED PERMANENT MAGNET WITH  
SURFACE TREATED**

ADDRESS TO:

Commissioner for Patents  
MAIL STOP BOX PATENT APPLICATIONS.  
Alexandria, VA. 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages **[29]**

3. ☒ Drawing(s) (35 USC 113) Total Sheets **[3]**

4. ☒ Oath or Declaration Total Pages **[2]**

a. [ ] Newly executed (original)

b. ☒ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).

i. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation by reference (useable if box 4b is checked).

The entire disclosure of the prior application, from which a copy of the oath or declaration is  
supplied under Box 4b, is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

6. [ ] Microfiche Computer Program (Appendix)

7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [ ] Computer Readable Copy

b. [ ] Paper Copy (identical to computer copy)

c. [ ] Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment to SUMITOMO SPECIAL METALS CO., LTD., Osaka-shi, Japan has been recorded at Reel  
010793, Frame 0039 on May 11, 2000. **Please publish the assignee data with the application.**

9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

22002 U.S. PTO

10/615181



07/09/03



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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copies of Priority Documents
- a. Priority of **JAP** application no. **11-134998** filed on **May 14, 1999**; Priority of **JAP** application no. **11-134999** filed on **May 14, 1999**; and Priority of **JAP** application no. **2000-117771** filed on **April 19, 2000** are claimed under 35 USC 119.
- The certified copies have been filed in prior application Serial No. 10/094,650. (For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 10/094,650
- a. ☒ Please amend the specification by inserting after the title: --This application is a divisional of prior application Serial No. 10/094,650 filed March 12, 2002, which is a divisional of prior application Serial No. 09/568,580, filed May 11, 2000, U.S. Patent No. 6,391,386. --.
- b. ☒ Cancel in this application original claims 1-11 and 17-20 of the prior application before calculating the filing fee.

FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee 750.00
Total Claims	5 - 20	0	x \$18.00	0
Independent Claims	2 - 3	0	x \$84.00	0
Multiple Dependent Claims			\$280.00	0
Filing Fee				750.00
Reduction by 1/2 for small entity				0
Fee for recording enclosed Assignment			\$40.00	0

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☒ [XX] A check in the amount of \$ **750.00** is enclosed to cover the filing fee.

☐ [ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**.

**18. CORRESPONDENCE ADDRESS:**

Armstrong, Westerman & Hattori, LLP  
Suite 1000, 1725 K Street, N.W.  
Washington, D. C. 20006  
Tel: (202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Typed or Printed Name **Stephen G. Adrian** Reg. No. **32,878**

Signature  Date: **July 9, 2003**